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PTO/SB/21 (09-04)

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# TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number

10/563,945

Filing Date

January 10, 2006

First Named Inventor

ANDREAS WAWRLA and RALDUS ZUCHOLL

Art Unit

APR 10 2006  
PATENT & TRADEMARK OFFICE  
143

Examiner Name

Attorney Docket Number

Total Number of Pages in This Submission

## ENCLOSURES (Check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment/Reply
  - After Final
  - Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Reply to Missing Parts/ Incomplete Application
  - Reply to Missing Parts under 37 CFR 1.52 or 1.53

- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation
- Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) \_\_\_\_\_
- Landscape Table on CD

### Remarks

After Allowance Communication to TC

Appeal Communication to Board of Appeals and Interferences

Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)

Proprietary Information

Status Letter

Other Enclosure(s) (please Identify below):

appended references, Abstracts and Search Reports

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

William D. Breneman, Esq.; BRENEMAN &amp; GEORGES

Signature



Printed name

William D. Breneman

Date

April 10, 2006

Reg. No.

26,714

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**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

ANDREAS WAWRLA and  
KLAUS ZUCHOLL

U.S. Serial No.: 10/563,945

Group Art Unit:

Filed: January 10, 2006

Examiner:

For: FILTER CARTRIDGE

April 10, 2006

\* \* \* \* \*

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner of  
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Alexandria, VA 22313-1450

Sir:

Pursuant to Rule 56 of the Rules of Practice in Patent and Trademark Cases (37 CFR § 1.56) Applicants submit copies of references uncovered in an International Search Report mailed October 12, 2004, a Second and Supplementary Notice Informing the Applicant of the Communication of the International Application mailed November 10, 2005, Notification concerning Transmittal of Copy of International Application as Published mailed January 20, 2005, a search report by the German Patent Office dated September 13, 2005 of prior art searched in Germany, Austria, Switzerland,

ANDREAS WAWRLA and  
KLAUS ZUCHOLL  
U.S. Serial No.: 10/563,945

France, Great Britain, USA, Japan (abstracts), former USSR (abstracts), European Patent Office and WIPO and a Notification concerning Transmittal of a Copy of International Preliminary Report on Patentability mailed January 26, 2006 and, International Preliminary Report on Patentability dated January 16, 2006. Copies of the abstracts of these references in English to the extent available are attached as Exhibit A.

The cited references including search report(s) and literature are included on Form PTO-1449 appended hereto as Exhibit B and are as follows:

**German References**

DE 198 27 623 A1 (AWEKO Kunststofftechnik Geraetebau  
GmbH & CO KG)  
January 7, 1999

DE G 81 00 166.5 (Officine Cimbali Giuseppe S.p.A.)  
May 21, 1981

DE 198 27 623 A1 (AWEKO Kunststofftechnik Geraetebau  
GmbH & CO KG)  
January 7, 1999

DE 10 2004 023 032 A1 (MECOSWISS Mechanische Compon-  
enten GmbH & Co. KG)  
December 9, 2004

**Internat. References**

WO 2005/005013 A1 (Wawrla, Andreas et al.)  
January 20, 2005

ANDREAS WAWRLA et al.  
U.S. Serial No.: 10/563,945

France 2 475 520 (Officine Cimbali Giuseppe SPA)  
December 23, 1980

UK 1 448 844 (Dyneek Corporation)  
September 8, 1976

US 5,860,354 (Jouatet et al.)  
January 19, 1999

US 6,156,213 (Dudley, David E. et al.)  
December 5, 2000

US 3,950,253 (Stern, Emanuel)  
April 13, 1976

Abstracts in English of the cited German references have been obtained where available and are included herewith as Exhibit A. These references are relevant in view of the fact they were uncovered and cited in the corresponding foreign priority application and their relevancy is described in the Search Report(s).

Although no fee is believed required for the Information Disclosure Statement, the Commissioner is hereby authorized to charge any additional fee or credit any overpayment which may be required by this Information Disclosure Statement to Deposit Account No. 02-3702.

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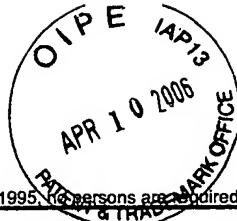
A duplicate copy of this sheet is attached.

Respectfully submitted,

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PTO/SB/08A (08-03)

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 1 of 2

**Complete if Known**

|                        |                      |
|------------------------|----------------------|
| Application Number     | 10/563,945           |
| Filing Date            | January 10, 2006     |
| First Named Inventor   | ANDREAS WAWRLA et al |
| Art Unit               |                      |
| Examiner Name          |                      |
| Attorney Docket Number |                      |

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

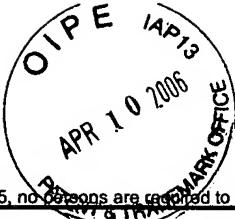
| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                    |                       | DE 198 27 623 A1  | 01-07-1999                     | AWECO Kunststof                                    |   |                |
|                    |                       | DE G 81 00 166.5  | 05-21-1981                     | Officine Cimbal                                    |   |                |
|                    |                       | DE 198 27 623 A1  | 01-07-1999                     | AWECO Kunststof                                    |   |                |
|                    |                       | DE 10 2004 023 032 A  | 12-09-2004                     | MECOSWISS Mecha                                    |   |                |
|                    |                       | WO 2005/005013 A1   | 01-20-2005                     | Wawrla, Andreas                                    |   |                |
|                    |                       | FR 2 475 520  | 12-23-1980                     | Officine Cimbal                                    |   |                |

| Examiner<br>Signature  |  | Date<br>Considered |
|--|--|--------------------|
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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 2 of 2

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| Art Unit               |                      |
| Examiner Name          |                      |
| Attorney Docket Number |                      |

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
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